

# **COMMON TRANSACTION REQUEST - NON FINANCIAL TRANSACTION**

Request For	Change of Bank Details / Mode of payout			PAN & KYC     Updation			Change of Address			[	Updation of contact details		Consolidation of Folios		Nominee Updation / Cancellation		
Fill Section (s)		A+B+H			A+C+H		A+D+H				A+E+H		A+F+H		A+G+H		
or Existing Unitholder(s) holding units in physical mode. Please read documentation requirements and Terms and Conditions overleaf. lease fill in the information below legibly in English and in CAPITALS.													1 1				
MPORTANT: Please strike off the section(s) that is (are) not used by you to prevent any unauthorized use. Date:																	
A. UNIT HOLDER INFORMATION																	
FOLIO NO.:																	
Sole/First Unit H	older																
B. CHANGE OF B	ANK M	NDATE / MOD	E OF PAY	MENT [I	Refer (i	) from	instru	ctions	overleaf]								
If you wish to cha	nge the	node of payout	in your fol	io(s) to '	NEFT/R	TGS', fill	only tl	ne IFSC	Code sect	on be	low and sub	omit a cano	celled orig	ginal cheque l	eaf OR a c	opy of che	eque leaf.
Account Number		Account Type (Please ✓) □ Savings □ Current □ NR0 □ NRE □ FCNR □ Others(please ≤										specify)					
Bank Name																	
Branch Address										Branch	City:			State:			
	PIN CODE			MICR	Code						IFSC	Code***					Ì
Note: Unitholders will r																	
I/We want to receive the Important: The charge																	tick (✔)]
*** Refer General Instru		,		51.7					, ,			2					
C. PAN AND KYC	UPDAT	ION															
Sole / First Appli	le / First Applicant / Guardian										KYC Letter	attached					
Second Applicar	oplicant			□ KYC Letter attached													
Third Applicant											KYC Letter	attached					
D. CHANGE OF A	DDRES	S [Refer (ii) fro	n instruc	tions o	verleaf	]											
New Address																	
-	<u></u>									D'				City:			
	State:									Pin:				Country:			
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# H. UNITHOLDER(S) SIGNATURE(S)

# Note:

- 1. To be signed by all unitholders, if mode of holding is joint. In case you have opted for registration/cancellation of nomination and/or consolidation of folios, all joint holders should sign, even in case of 'Anyone or Survivor'
- Alterations in the form, if any should be countersigned. 2.

# Declaration:

"I/We hereby declare and confirm that the information provided in this form is true and correct and is duly supported by the document proof enclosed along with the form. I/We further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the AMC/Mutual Fund shall be entitled to reject the form. The AMC/Mutual Fund shall not be liable and/or responsible for any loss or damage that I/we may incur if the Form is rejected."

Sign Here
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First / Sole Applicant/ Guardian / PoA Holder

Second Applicant / Unit holder

Third Applicant / Unit holder

# INSTRUCTIONS

# i. Change of Bank Mandate

Proof of any one of the existing bank accounts in the folio and proof of the new bank account mentioned in this application form should be submitted. Any one of the following documents are valid supporting documents for a bank account.

- A cancelled original cheque leaf (where the account number and first Unitholder name is printed on the face of the cheque). Unitholders should without fail cancel the cheque and write Cancelled' on the face of it to prevent any possible misuse.
- A letter from the bank on its letterhead certifying that the Unitholder maintains/maintained an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch and IFSC Code (where available). The letter should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- A copy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name, address and account number of the account holder.
- The copy should be certified by the bank manager with his/her full signature, name, designation, employee code, bank seal and contact number. Unitholders may also bring a copy of any of the documents mentioned above along with the original documents to the ISCs/Official Points of Acceptance of Mahindra Mutual Fund ("Fund"). The copy of such documents will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the Unitholder after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his/her full signature, name, designation, employee code, bank seal and contact number.
- Mahindra AMC / Fund may call for any additional documents if required
- The new bank account mentioned in this form will be registered as the default account.

In the event of a request for change in bank account information being invalid / incomplete / not satisfactory in respect of signature mismatch/document insufficiency/ not meeting any requirements more specifically as indicated in aforesaid clauses, the request for such change will not be processed. Redemptions / dividend payments, if any, will be processed and the last registered bank account information will be used for such payments to Unit holders.

Unit holders may note that it is desirable to submit their requests for change in bank details atleast 7 days prior to date of redemption / dividend payment, if any and ensure that the request for change in bank mandate has been processed before submitting the redemption request. If change in bank mandate has not been processed, payment will be made in the existing bank account registered in the folio. Further, in the event of a request for redemption of units being received within seven days of change in bank account details, the normal processing time as specified in the Scheme Information Document, may not necessarily apply, however it shall be within the regulatory limits.

Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption /dividend proceeds. ii. Change of Address

Please submit ANY ONE of the following valid documents for proof of new address (self attested)

 Passport
 Action card
 Registered Lease/Sale Agreement of Residence
 Driving License
 Voter Identity Card
 Latest Bank A/c Statement/Passbook
 \*Latest Telephone Bill (only Land Line) • \*Latest Electricity Bill • \*Latest Gas Bill

## \*Not more than 3 Months old.

Please always mention the Pincode while updating the address as it helps postal authorities and couriers in faster delivery. Change of address request for folios that are KRA compliant will not be registered by the fund and investor should get the address updated with KRA first. For Non KYC folios-Attach Proof of address AND PAN Card (OR Any other Proof of Identity for PAN exempt cases only). Copies of all documents submitted should be self attested and accompanied by originals for verification or they should be attested by a KYD complied distributor or personnel/entities authorized for attesting as per KYC guidelines.

### iii. Instructions for Consolidation of Folios

- Folios can be consolidated only if names of the all unit holders (and the pattern of holding), tax status, nomination and mode of operation (single/joint/either or survivor) are same in all source folios.
- $\label{eq:alpha} All joint holders must sign on consolidation requests irrespective of the mode of operation (single / joint / either or survivor) in the source folios.$
- Folios once consolidated cannot be separated.
- Consolidation is effected at the folio level and not at the scheme level.

## iv. Instructions for Nomination

- Nomination ensures all rights and/or amount(s) payable in respect of the holdings in Schemes of Mahindra Mutual Fund would vest in and be transferred to the nominee upon death of the Unit holder. The nominee receives the units only as agent and trustee for the legal heirs or legatees as the case may be. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future
- Nomination by a unit holder shall be applicable for investments in all schemes in the folio or account.
- Every new nomination for a folio/account will overwrite the existing nomination. Nomination will be subject to the provisions of the respective Scheme Information Document.
- Nomination shall be mandatory for new folios/accounts opened by an individual especially with sole holding and no new folios/accounts for individuals (with sole holding) would be opened without nomination. However, investors who do not wish to nominate must sign separately confirming their non-intention to nominate. In case nomination/non-intention to nominate is not provided by Individual (with sole holding) the application is liable to be rejected.
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. Nomination shall not be allowed in a folio held on behalf of a minor. In case a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not"joint".
- Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time
- Nomination in respect of the units stands rescinded upon the transfer of units. Transfer of units in favour of Nominee(s) shall be valid discharge by the AMC against the legal heirs.
- Cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee(s).
- Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.
- In the event of the Unitholders not indicating the percentage of allocation/share for each of the nominees, Mahindra Mutual Fund/ Mahindra Asset Management Company Limited (AMC), by invoking default option shall settle the claim equally amongst all the nominees.
- In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.